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PATENT APPLICATION  
Application No. 10/686,462  
Paper Dated: October 15, 2004  
Attorney Docket No. 124256.401

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: : Steven Kirk Donoho et al.

Application No. : 10/686,462

Group Art Unit : 3621

Filed : October 15, 2003

Examiner : Not yet assigned

For: METHOD AND SYSTEM FOR THE PROTECTION OF BROKER AND INVESTOR  
RELATIONSHIPS, ACCOUNTS, AND TRANSACTIONS

**STATUS CHECK**

Commissioner for Patents  
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Sir:

The above-identified application was filed in the U.S. Patent and Trademark Office on October 15, 2003. Applicants respectfully request the status of this application.

Respectfully submitted,  
PEPPER HAMILTON LLP



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**CERTIFICATE OF FACSIMILE UNDER 37 C.F.R. §1.6 & §1.8**

In re Applications of  
Steven Kirk DONOHO, et al.

Serial No.: 10/686,462

Art Unit: 3621

Filed October 15, 2003

Examiner: not yet assigned

Title: METHOD AND SYSTEM FOR PROTECTION OF BROKER AND INVESTOR  
RELATIONSHIPS, ACCOUNTS AND TRANSACTIONS

I hereby certify that this "STATUS CHECK" is being sent via facsimile to fax number 703-872-9306 under 37 C.F.R. §1.6 & §1.8 on October 15, 2004.

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